SMART529 WV Direct

Designated Beneficiary Change Form



SMART529 is a program of the Board of Trustees of the West Virginia College and Jumpstart Savings Programs and is administered by Hartford Funds Management Company, LLC

- Complete this form if you are transferring to a new Designated Beneficiary all or part of the balance in the Account of your current Designated Beneficiary.
- Type in your information and print out the completed form, or print clearly, preferably in capital letters and black ink. Mail the form to the address below, or return by fax at **1.877.486.9270**. Do not staple.

Important: To avoid adverse tax consequences on the Account transfer, the new Designated Beneficiary must be a Member of the Family of the former Designated Beneficiary, as defined in the SMART529 WV Direct Offering Statement. If the new Designated Beneficiary is not an eligible family member, the change will be considered a non-qualified distribution, which means that it may be subject to both state and federal income tax and a 10% federal penalty tax on any earnings.

Fillable forms can be downloaded from our website at **www.SMART529.com**, or you can call us to order any form — or request assistance in completing this form — at **1.866.574.3542**, Monday—Thursday 8 a.m. to 7 p.m. Eastern time and Friday 8 a.m. to 6 p.m. Eastern time.

Return this form and any other required documents to:

SMART529 WV Direct P.O. Box 55362 Boston, MA 02205-5362

For overnight delivery or registered mail, send to:

SMART529 WV Direct 95 Wells Ave., Suite 155 Newton, MA 02459-3204

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Irani	sier amount	(Uneck and complete Section 3A or	3B.)			
A	Account numb	ee. SMART529 WV Direct will changer if you do not already have an Account will be closed.				
	Do you already	y have an Account for the new Design	nated Beneficiary? (C	Check one.)		
	Yes.	Account Number		If yes, go directly to S	Section 30) .
	No.	Complete Sections 4 and 5.				
В.		ce. The SMART529 WV Direct will k you specify below will be transferred	•	· ·	neficiary's A	Account open. The
	Name of Inve	estment Option	Dollar amo (For partial amo		OR	Total balance (Check if applicable.)
		Stillent Option				[опеск п аррпсавле.]
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	Do you already	y have an Account for the new Design	nated Beneficiary? (C	Check one.)		
	Yes.	Account Number		If yes, go directly to S	Section 30).
	No.	Complete Sections 4 and 5.				
		nount you want transferred exceeds ur current Designated Beneficiary.	the maximum contrib	oution limit, the excess will	remain in	the existing
C		bunt transfers. Complete this section nsfer amount will be allocated accord				
	(Check one.)					
		transfer the assets in-kind. (An "in-k Beneficiary's Account without selling		_	ent Benefic	iary's Account to
	this optio	transfer and allocate the assets according the current investments will be liqued to the future allocation instructions	juidated, and the fund	ds will be deposited into th	ie new Ber	
		transfer the assets into the new Inve			vill not cha	ange the future

Important: If you have already established an Account for the new Designated Beneficiary and provided the Account number above, proceed directly to **Section 5.** Otherwise, complete all remaining sections.

4. Investment Option selection (Check only one.)

• Before choosing your Investment Option(s), see the Offering Statement (available at www.SMART529.com) for complete information about the Investment Options offered.

Note: See the Offering Statement (available at **www.SMART529.com**) for complete information on the Investment Option(s) you are considering.

Age-Based Portfolio:

The asset allocation of money invested in the Age-Based Portfolio is automatically adjusted over time to become more conservative as the Designated Beneficiary approaches college. The Age-Based Portfolio consists of the following Options: 0-3, 4-6, 7-9, 10-11, 12-13, 14-15, 16, 17, and 18+.

	Class D Shares
Age-Based Portfolio	
Static Portfolios: The assets will remain in the portfolio(s) you select un	ntil you exchange them into a new Investment Option.
Aggressive Growth Portfolio	
Growth Portfolio	<u> </u>
Balanced Portfolio	<u> </u>
Conservative Balanced Portfolio	<u> </u>
Conservative Fund Portfolio	<u> </u>
Individual Portfolios: The assets will remain in the portfolio(s) you select un	
500 Index Fund	%
Stable Value Portfolio	%
	1 0 0 %

5. ACCOUNT CERTIFICATION AND AUTHORIZATION — YOU MUST SIGN BELOW

- Investments in SMART529 WV Direct College Savings Plan are not mutual funds, or deposits or obligations of, or guaranteed
 or endorsed by, the State of West Virginia, the Board of Trustees of the West Virginia College and Jumpstart Savings Programs,
 Hartford Funds Management Company, LLC or its affiliates, or any other financial institution. They are not insured by the Federal
 Deposit Insurance Corporation (FDIC), the Federal Reserve Board, or any other agency. They involve risk, including the possible loss
 of principal.
- I understand that the SMART529 WV Direct Program and/or the Program's manager may change in accordance with the terms of the Offering Statement and Participation Agreement.

W-9 Certification - Under penalty of perjury, I certify that:

- 1. The number shown on this form is my correct Taxpayer Identification number, and
- 2. I am not subject to backup withholding because (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding, and
- 3. I am a U.S. person (including a U.S. resident alien).

Certification Instructions: You must cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return.

BY SIGNING BELOW, YOU ARE AGREEING TO THE TERMS OF THE OFFERING STATEMENT, THE PARTICIPATION AGREEMENT AND THE TERMS OF THIS APPLICATION. YOU SHOULD CONSULT A FINANCIAL OR LEGAL ADVISOR IF YOU HAVE ANY QUESTIONS ABOUT THE TERMS AND CONDITIONS OF THIS AGREEMENT.

MY SIGNATURE BELOW INDICATES I HAVE READ THE OFFERING STATEMENT AND PARTICIPATION AGREEMENT FOR THE SMART529 WV DIRECT PROGRAM AND AGREE TO THE TERMS. THIS APPLICATION, TOGETHER WITH THE OFFERING STATEMENT AND THE PARTICIPATION AGREEMENT, CONSTITUTES MY CONTRACT WITH THE WEST VIRGINIA SAVINGS PLAN TRUST (AND ITS DESIGNEES) WITH RESPECT TO AMOUNTS INVESTED PURSUANT TO THIS APPLICATION.

I UNDERSTAND THAT CONTRIBUTIONS TO THIS ACCOUNT ARE SUBJECT TO INVESTMENT RISK AND ARE NOT FDIC INSURED NOR GUARANTEED BY A DEPOSITORY INSTITUTION. I FURTHER UNDERSTAND THAT THE STATE OF WEST VIRGINIA AND HARTFORD FUNDS MANAGEMENT COMPANY, LLC AND ITS AFFILIATES DO NOT INSURE OR GUARANTEE THIS ACCOUNT, AMOUNTS CONTRIBUTED TO THE ACCOUNT OR INVESTED RETURN.

The Internal Revenue Service does not require your consent to any provision of this to avoid backup withholding.	document other than the certifications required
SIGNATURE Signature of Account Owner	Date (mm/dd/yyyy)

